COMPARING DYNAMICAL AND PHOTOMETRIC MASS ESTIMATES OF LOW- AND HIGH-REDSHIFT GALAXIES: RANDOM AND SYSTEMATIC UNCERTAINTIES

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Received 2005 October 12; accepted 2006 July 27

ABSTRACT

We determine the importance of redshift-dependent systematic effects in the determination of stellar masses from broadband spectral energy distributions (SEDs), using high-quality kinematic and photometric data of early-type galaxies at $z \sim 1$ and $z \sim 0$. We find that photometric masses of $z \sim 1$ galaxies can be systematically different, by up to a factor of 2, from photometric masses of $z \sim 0$ galaxies with the same dynamical mass. The magnitude of this bias depends on the choice of stellar population synthesis model and the rest-frame wavelength range used in the fits. The best result, i.e., without significant bias, is obtained when rest-frame optical SEDs are fitted with models from Bruzual & Charlot. When the SEDs are extended to the rest-frame near-IR, a bias is introduced: photometric masses of the $z \sim 1$ galaxies increase by a factor of 2 relative to the photometric masses of the $z \sim 0$ galaxies. When we use the Maraston models, the photometric masses of the $z \sim 1$ galaxies are low relative to the photometric masses of the $z \sim 0$ galaxies by a factor of $\sim 1.8$. This offset occurs both for fits based on rest-frame optical SEDs and fits based on rest-frame optical+near-IR SEDs. The results indicate that model uncertainties produce uncertainties as high as a factor of 2.5 in mass estimates from rest-frame near-IR photometry, independent of uncertainties due to unknown star formation histories.

Subject headings: cosmology: observations — galaxies: evolution — galaxies: formation

Online material: color figures

1. INTRODUCTION

Galaxy masses are an essential link between theories of galaxy formation and observations of the galaxy population and the evolution thereof. In the local universe, masses can be measured accurately by modeling the luminosity distribution and the dynamical structure of galaxies (see, e.g., Cappellari et al. 2006). Scaling relations, such as the fundamental plane for early-type galaxies (Djorgovski & Davis 1987; Dressler et al. 1987) and the Tully-Fisher relation for spiral galaxies (Tully & Fisher 1977), can be used to measure the evolution of the mass-to-light ratio ($M/L$) from high redshift to the present (Franx 1993). This technique has been applied successfully and has provided constraints on the formation epoch of massive early-type galaxies out to $z \sim 1.3$ (e.g., van Dokkum & Stanford 2003).

The number of galaxies with dynamically measured masses at intermediate redshifts ($z \sim 1$) is small, because obtaining those is observationally expensive. Furthermore, those samples are severely hampered by selection effects (see van der Wel et al. 2005). Therefore, it is not yet possible to obtain directly the galaxy mass density at high redshift. Also, even though the redshift at which dynamical masses can be measured is steadily increasing, the most active era of galaxy formation, $z \gtrsim 2$, is not accessible in that respect with the current generation of instruments. For all of these reasons, one has to rely on less accurate mass estimates to construct a picture of the high-$z$ galaxy population (Shapley et al. 2003, 2005; Papovich et al. 2003; Förster Schreiber et al. 2004; Labbé et al. 2005) and the evolution of the mass density with redshift (Bell et al. 2004; Drory et al. 2004a; Faber et al. 2006). In these studies, broadband photometry is compared with predictions from stellar population models (most commonly Bruzual & Charlot 2003) in order to constrain the physical properties of high-$z$ galaxies, and thereby their stellar masses.

However, the uncertainties in mass estimates obtained by fitting the spectral energy distributions (SEDs) of galaxies are large. It is well known that parameters such as age, dust content,
and metallicity are degenerate, leaving $M/L$ uncertain. The lack of knowledge of the stellar initial mass function (IMF) leads to a (probably large) systematic uncertainty. Comparisons of photometric mass estimates with dynamical mass measurements are essential to establish their robustness and accuracy. Bell & de Jong (2001) have shown that the optical colors of spiral galaxies correlate well with their $M/L$. At $z \sim 1$, van der Wel et al. (2005) have demonstrated that optical colors correlate well with dynamically determined $M/L$ for early-type galaxies. These results suggest that full SEDs should provide good constraints on masses and $M/L$. This has been tested directly for local galaxies by Drory et al. (2004b), who establish the reliability of the “photometric masses.”

In this paper we extend, for the first time, the comparison between dynamical and photometric masses to $z = 1$. We use our sample of early types with velocity dispersions (van der Wel et al. 2005) and rest-frame UV-through-IR photometry. We investigate the scatter between dynamical and photometric masses, both at $z = 0$ and $z = 1$, and how this depends on the photometry included in the SED fits and the model assumptions. Furthermore, we investigate systematic differences between dynamical and photometric masses. We note that photometric masses are never absolute, as dark matter fractions and numbers of low-mass stars are unconstrained from the photometry (see, e.g., Gerhard et al. 2001; Cappellari et al. 2006). However, photometric masses have been used to obtain “relative masses” at higher redshifts and to determine the relative mass evolution (e.g., Dickinson et al. 2003; Rudnick et al. 2003, 2006; Bell et al. 2004). We test here explicitly whether the relative photometric masses at $z = 0$ and $z = 1$ are consistent with the dynamical masses. The only assumption we make is that high- and low-redshift early types have the same kind of stellar population (IMF, metallicity), with (obviously) different ages. This assumption underlies all work with relative photometric masses.

We specifically address the question of whether the rest-frame near-infrared (near-IR) helps to constrain the masses. Because the near-IR is less sensitive to extinction than the optical, extending SED fitting to the near-IR helps to lift the degeneracy between age and extinction. On the other hand, the stellar population models are less reliable at wavelengths longer than 1 µm than in the optical (Maraston 2005). Furthermore, optical–to–near-IR colors have been shown to correlate less well with $M/L$ than optical colors (Bell & de Jong 2001). The advance of the Spitzer Infrared Array Camera (IRAC; Fazio et al. 2004) provides access to the rest-frame IR at high redshift, and it is assumed that this will allow for “cheap” mass determinations through SED fitting for large numbers of distant galaxies. It is clear that extending the fit SEDs to the IR, and thus obtaining mass estimates, needs to be tested.

This paper is organized as follows: we describe the dynamical masses of the galaxy samples in § 2. The photometry, the stellar population models, and our fitting method to obtain stellar masses are described in § 3. In § 4 we present our results, discussing the consistency of the models with our empirical results, and the dependence on the fit wavelength range. In § 5 we discuss the biases that are revealed by this work and how they affect estimates of high-$z$ galaxy masses and the evolution of the mass density. Throughout, we use the Vega magnitude system (based on the Kurucz [1992] A0 V model spectrum) and the concordance cosmology, $(\Omega_M, \Omega_{\Lambda}, h) = (0.3, 0.7, 0.7)$.

## 2. DYNAMICAL MASSES OF EARLY-TYPE GALAXIES

Dynamical masses are computed as $M_{\text{dyn}} = C r_{\text{eff}} \sigma^2_c / G$, where $C$ is a constant, $\sigma_c$ is the central velocity dispersion, $r_{\text{eff}}$ is the effective radius, and $G$ is the gravitational constant. Kochanek (1994), assuming spherical symmetry, no rotation, and fixed anisotropy, has shown that the velocity dispersion of the dark matter in elliptical galaxies equals the central line-of-sight velocity dispersion, as measured within a 2″ × 4″ sized aperture. This implies $C = 4$ if $M_{\text{dyn}}$ is assumed to be twice the mass within the effective radius. In terms of the dispersions we use (corrected to a 3″ diameter aperture at the distance of the Coma Cluster), we would have to take $C = 4.11$, taking the differences in aperture and distance between the samples into account. In the literature, $C = 5$ is used more often (e.g., Jorgensen et al. 1996). Therefore, we choose to use $C = 5$ throughout this paper, in order for the masses to remain consistent with previous studies. This number is based on an isotropic density model, projected onto an $r^{1/4}$ law and integrated out to $2.5r_{\text{eff}}$, which is 75% of the total mass (see, e.g., Cappellari et al. 2006). In units of solar masses, the dynamical mass becomes $M/M_\odot = 1.17 \times 10^6 r_{\text{eff}} \sigma^2_c$, with $r_{\text{eff}}$ in kpc and $\sigma_c$ in km s$^{-1}$. We note, however, that the precise value of $C$ does not affect our analysis, as long as it does not evolve with redshift.

Van der Wel et al. (2005) provide internal velocity dispersions and structural parameters for 29 galaxies in the Chandra Deep Field–South (CDF-S). We exclude four galaxies with late-type morphologies, four early types with spectra with low signal-to-noise ratios (S/N < 12 Å$^{-1}$), and one early type that falls outside the IRAC mosaic. We then have a sample of 20 early-type galaxies with a median redshift of $z = 0.98$. The median mass of this sample is $1.5 \times 10^{11} M_\odot$. As a low-redshift comparison sample we use 23 early-type galaxies in the nearby ($z = 0.024$) Coma Cluster with measured $\sigma_c$ and $r_{\text{eff}}$ (Dressler et al. 1987; Faber et al. 1989). The median mass of this sample, $M = 1.8 \times 10^{11} M_\odot$, is comparable to that of the $z \sim 1$ sample.

## 3. DERIVATION OF STELLAR MASSES FROM PHOTOMETRY

### 3.1. Photometric Data

A large range of photometric data is available for the CDF-S. GOODS provides Advanced Camera for Surveys (ACS) imaging in 4 filters (F435W, F606W, F775W, and F850LP, hereafter $b_{435}$, $i_{606}$, $i_{775}$, and $z_{850}$; Giavalisco et al. 2004), ESO provides J- and K-band imaging, and IRAC GTO observations from the Spitzer Space Telescope are available (channels 1 – 4; 3.6, 4.5, 5.8, and 8.0 µm, respectively). The photometry is described by van der Wel et al. (2006) and is presented here in Table 1. The magnitudes are measured within a fixed aperture with a diameter of 5″0, using registered and PSF-matched images.

For the local sample of Coma galaxies we need photometry that samples a similar rest-frame wavelength range to the one we have for the $z \sim 1$ sample. Faber et al. (1989) and Scodellaro et al. (1998) provide the optical surface photometry, and Rahne et al. (1998) provide the K-band surface photometry. We use the effective surface brightnesses and effective radii in the $B$, $V$, $I$, and $K$ bands to compute the colors within apertures of 67″, used by Faber et al., which typically corresponds to $4r_{\text{eff}}$ at the distance of the Coma Cluster. The average colors are $B - V = 0.96$, $V - I = 1.18$, and $I - K = 1.96$. The Coma galaxies are the only sample in the literature with K-band photometry suitable for this study. The fact that these are cluster galaxies, and not field galaxies as the galaxies in our distant sample are, does not limit the interpretation of our results. The differences between the two samples are reproduced through modeling their SEDs, adopting the relevant parameters that might differ (such as age, star formation history [SFH], and dust content) as free parameters in the
matched images. The two lower limits that occur in the table are 3.0 ± 0.01 mag, respectively. These errors do not include the systematic uncertainty in the photometric zero points (see text for details).

The reddest filter ($K$) is much redder in the optical ($i$) than BC03, because of the very different implementation of the thermally pulsating asymptotic giant branch (TP-AGB) phase. For ages older than 3 Gyr, the M05 model is bluer in optical–near-IR colors than the BC03 model because of the cooler red giant branch (RGB) stars of the Padova tracks (Girardi et al. 2000; used by BC03), with respect to those of the Cassisi tracks (Cassisi et al. 2000; used by M05). M05 adopts the fuel consumption approach, and calculates luminosity contributions from different stellar types by the amount of fuel used during a certain evolutionary stage, whereas BC03 follow the isochrone synthesis approach, and characterize the properties of the stellar population per mass bin.

We consider single stellar population (SSP) models from M05 with solar and supersolar metallicity ($Z_0$) and a Salpeter IMF with mass limits 0.1 and 100 $M_\odot$ (these limits are used throughout the paper). For the BC03 model we also consider models with two different metallicities ($Z_0$ and 2.5 $Z_0$) and, in addition, a solar-metallicity model with a “top-heavy,” or “flat,” IMF with slope of $x = 0.35$ (instead of $x = 1.35$, which is the Salpeter IMF). The model with a flat IMF has been shown to provide a better match to the evolution of $M/L$ in the optical and in the near-IR simultaneously (van der Wel et al. 2006). We do not consider IMFs with different shapes at the low-mass end. The reason is that varying this shape changes all mass estimates by a constant factor. In other words, the high- and low-redshift samples are affected similarly by the choice of parameterization of the low-mass end of the IMF.

In Figure 1 we show the spectra of the three BC03 models and the M05 model for ages of 0.5, 1, 2, and 5 Gyr. The difference between the BC03 models with different metallicities, shown in the left-hand panel, is subtle, except for the longest wavelengths. The BC03 models with different IMF slopes (middle panel) show much larger variation. This is primarily a difference in overall energy output, which is simply due to the larger numbers of giants in the case of a flat IMF, especially at young ages. A secondary, but actually more interesting, difference is the different evolution of the slope, i.e., color, of the SED in models with different IMFs. Such differences in color evolution are also apparent when comparing the BC03 models to those of M05, shown in the right-hand panel. For ages younger than 2.5 Gyr, the M05 model predicts much higher luminosities in the $K$ band than the BC03 model, whereas the optical luminosities are similar. The differences in color evolution are demonstrated more clearly in Figure 2. For ages older than 3 Gyr, the optical colors from different models agree well. For ages younger than that, differences of up to 1 mag in $B - I$ occur between BC03 and M05 models with the same model parameters. The near-IR differences occur for all ages and can increase up to 1.4 mag in $B - K$.

### 3.3. Fitting Method

We use redshifted model spectra to compute apparent magnitudes, $m_{\text{mod}}$, allowing the age (and, optionally, the SFH, and the dust content) to vary, and normalizing the calculated magnitudes to match the observed magnitudes, $m_{\text{obs}}$, to obtain the photometric mass. The $m_{\text{obs}}$ values are the color magnitudes given in Table 1, including a correction from fixed aperture magnitudes to...
total magnitudes, as measured in the $K$ band. The resulting total fluxes are multiplied by 0.75 to match the aperture in which the dynamical mass is calculated (see §2). The derived stellar mass includes the dark, compact remnants of massive stars, but not the gas lost due to stellar winds and supernovae ejecta. The best-fitting model is selected on the basis of the root mean square (rms) of $m_{\text{mod}} - m_{\text{obs}}$, weighing with the inverse square of the photometric errors. A certain minimum error is assumed to avoid the data points with the largest errors being effectively ignored in the SED fits. When the IRAC data are used in the fit, a minimum error of 0.10 mag is adopted. When the IRAC data are not used, we use 0.03 mag. The choices are based on the relative uncertainties in the photometric zero points of the different data sets. However, the precise value of the minimum error does not profoundly affect the fitting results for the samples as a whole.

For the SSP models described in the previous section, there is a unique relation between color and age, and age determines $M/L$, and thus $M_{\text{phot}}$. The differences between the models, discussed in the previous section and shown in Figure 2, will cause mass estimates derived from SEDs to differ. Most notably, the different trends with age will cause systematic biases in mass estimates of young (high-$z$) galaxies relative to old (low-$z$) galaxies.

In Figure 3 we show, as an example, the fitting results for object CDFS 2, using the SSP models. In the top panel we show how log ($M_{\text{phot}}$) of the best-fitting BC03 model (with solar metallicity and a Salpeter IMF) changes if different wavelength ranges are included in the fit. The bottom panel shows how the results vary when modeling $i_{775}$ through 4.5 $\mu$m using different models. BC03 solid line: Result for the BC03 model with solar metallicity and a Salpeter IMF. Dashed line: Result for the BC03 model with supersolar metallicity. Dotted line: Result for the BC03 model with a top-heavy IMF. M05 solid line: Result for the M05 model with solar metallicity and a Salpeter IMF. Different models clearly yield different $M/L$ ratios. The broad minimum obtained for the M05 model can be explained by the slow evolution of the optical $Y$ to $Y$ near-IR color (see Fig. 2), and the consequently poorly constrained age. [See the electronic edition of the Journal for a color version of this figure.]
ranges are used in the fit. In the bottom panel we show how the results vary from model to model, fitting the SEDs from $\lambda_{775}$ to 4.5 $\mu$m. The log ($M_{\text{phot}}$) values of the best-fitting models vary by a factor of 3, indicating the level of the systematic uncertainty in the photometric mass estimate. Note that the quality of the fits is generally good: the rms of the best-fitting model is typically only $\sim 0.02$ mag.

To further illustrate our SED fitting method, we show in Figure 4 the SEDs of three $z \sim 1$ early-type galaxies and two different, best-fitting model spectra for each of them. These model spectra are the SSP models with solar metallicity and a Salpeter IMF of BC03 and M05, which are fit to the $i_{775}$, $z_{850}$, and $J$ data points, i.e., the rest-frame optical. While similar in the rest-frame optical, the two models differ from each other in the rest-frame near-IR. The BC03 spectrum predicts systematically lower flux levels than the M05 model. The K, 3.6 $\mu$m, and 4.5 $\mu$m data points agree better with the M05 model than with the BC03 model. As a consequence, when we fit the $i_{775}$ through 4.5 $\mu$m SEDs of these galaxies, the best-fitting age increases for the BC03 model in order to match the redness of the SEDs. No older ages are found when the M05 is used. This behavior is typical for the galaxies in our sample. As we will show in the subsequent sections, whether or not the near-IR is included in the SED fits has profound consequences on the resulting photometric mass estimates.

4. SYSTEMATIC UNCERTAINTIES IN PHOTOMETRIC MASS ESTIMATES

4.1. Rest-Frame Optical SED Fits

In this section we compare photometric and dynamical mass estimates. We begin by fitting the rest-frame optical SEDs, as previous work has shown that a single rest-frame optical color such as $B-R$ can be used well to estimate $M/L$ (Bell & de Jong 2001). For the local sample we use the $B$, $i'$, and $I$ bands in the fit, and for the distant sample we use $i_{775}$, $z_{850}$, and $J$.

We start with mass estimates resulting from fits of the SSP model from BC03 with solar metallicity and a Salpeter IMF. The left-hand panel of Figure 5 shows $M_{\text{phot}}$ versus $M_{\text{dyn}}$ for the sample of local early-type galaxies. On the right-hand side we show the same relation for the distant galaxies. As can be seen, $M_{\text{phot}}$ and $M_{\text{dyn}}$ correlate well; the scatter around the average $M_{\text{phot}}/M_{\text{dyn}}$ is a factor of 1.50 for the local sample and a factor of 1.78 for the distant sample (these and all other modeling results for the high-$z$ sample are summarized in Table 2).

To quantify the difference between $M_{\text{phot}}$ and $M_{\text{dyn}}$, we introduce the parameter $\delta_i = \log (M_{\text{phot}}/M_{\text{dyn}})$, where the subscript $i = 0$ or $i = 1$ refers to the redshift of the sample. Here $\delta_0 = -0.10 \pm 0.04$, which means that photometric masses are 20$\%$ $\pm$ 8$\%$ smaller than dynamical masses for the low-redshift sample. For the high-$z$ sample we find $\delta_1 = -0.20 \pm 0.07$. The fact that $\delta_1 < 0$ may be explained by dark matter, or an underestimate of the number of low-mass stars in these galaxies. It is more relevant that $\delta_1$ and $\delta_0$ are consistent with each other: the photometry does not allow us to constrain the low-mass end of the IMF and the dark matter fraction. Therefore, we normalize the low-$z$ photometric masses such that, on average, they are equal to the dynamical masses. The $z \sim 1$ photometric mass estimates are changed by the same amount, such that the normalized offset between $M_{\text{phot}}$ and $M_{\text{dyn}}$ at $z \sim 1$ can be expressed as $\Delta = \delta_1 - \delta_0$. Thus, for the above, we have $\Delta = -0.11 \pm 0.07$, i.e., the normalized $z \sim 1$ photometric masses are consistent with the dynamical masses (they are marginally smaller, by 22$\%$ $\pm$ 14$\%$). The fact that $\Delta$ is consistent with zero means that the differences between the SEDs of the low- and high-redshift samples are correctly transformed into a difference in $M/L$ by this BC03 model.

In order to investigate systematic effects, we perform SED fits with different model parameters. We show the results for the high-redshift galaxies in Figure 6. If we fit a supersolar metallicity BC03 model (Fig. 6a) we obtain $\delta_1 = -0.32 \pm 0.05$ and $\Delta = 0.00 \pm 0.06$. If we adopt a flat IMF (Fig. 6b), we find $\delta_1 = -0.70 \pm 0.06$ and $\Delta = -0.37 \pm 0.07$. Thus, if IMF and metallicity are unconstrained, the average photometric mass of the high-$z$ sample is uncertain by at least a factor of 5 in an
The photometry used in the fits is indicated for the $z = 0$ sample. For the $z = 0$ sample similar rest-frame wavelength coverages are used (see text for the exact filter sets). The $\delta t$ value is the average $\log(M_\text{phot}/M_\odot)$, and $\sigma_t$ is the scatter therein. $\Delta \equiv \delta t - \delta t_0$. We use $\delta t_0$ from single-burst models to calculate $\Delta$, also in cases of more complicated SFHs and dust extinction. Models with a flat IMF (with slope 0.35 instead of 1.35, which is the slope of the Salpeter IMF) are indicated by $x = 0.35$. “SFH” indicates either the average timescale $\tau$ of the exponentially declining star-formation rate, or the average percentage of stellar mass involved in a secondary burst. This percentage ranges between 0% and the adopted burst strength, which indicates that not all galaxies are necessarily fit better by a double burst model than by a single burst model. $A_b$ is the extinction in the rest-frame $V$ band. Those values marked with an asterisk (*) indicate the attenuation of the secondary burst; the primary burst is assumed to be dust-free.

absolute sense. The differential $M/L$ from $z = 1$ to $z = 0$ is uncertain by a factor of 2.3.

Now we explore the M05 models, using the same ages, metallicities, and IMFs as for the BC03 models. In Figure 6c we show the $z \sim 1$ results if we adopt the M05 model with solar metallicity and a Salpeter IMF (see also Table 2). We find that $\Delta = -0.23 \pm 0.06$, which is significantly smaller than what was found for the BC03 model, and also significantly smaller than zero: the normalized high-$z$ photometric masses are a factor of $1.7 \pm 0.2$ smaller than the dynamical masses. We find better agreement for the supersolar-metallicity M05 model (Fig. 6d): $\Delta = -0.08 \pm 0.08$. The disagreement between the BC03 and M05 models implies a systematic uncertainty of a factor of 1.3 in the normalized high-$z$ mass estimates. This is much smaller than

<table>
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<th>Model</th>
<th>$\Delta$</th>
<th>$\sigma_t$</th>
<th>$\delta t$</th>
<th>$\langle\text{Age}\rangle$</th>
<th>$\langle\text{SFH}\rangle$</th>
<th>$\langle A_b \rangle$</th>
</tr>
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<tbody>
<tr>
<td>$z = 1$; $\text{BC03, } Z_{08}$</td>
<td>-0.11 $\pm$ 0.07</td>
<td>0.25 $\pm$ 0.03</td>
<td>-0.20 $\pm$ 0.07</td>
<td>0.03</td>
<td>2.0</td>
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<tr>
<td>$z = 1$; $\text{BC03, } Z_{x = 0.35}$</td>
<td>-0.37 $\pm$ 0.07</td>
<td>0.27 $\pm$ 0.03</td>
<td>-0.70 $\pm$ 0.06</td>
<td>0.03</td>
<td>1.8</td>
<td>...</td>
</tr>
<tr>
<td>$z = 1$; $\text{BC03, } Z_{2.5 Z_{08}}$</td>
<td>0.00 $\pm$ 0.06</td>
<td>0.24 $\pm$ 0.02</td>
<td>-0.32 $\pm$ 0.05</td>
<td>0.04</td>
<td>1.1</td>
<td>...</td>
</tr>
<tr>
<td>$z = 1$; $\text{M05, } Z_{08}$</td>
<td>-0.23 $\pm$ 0.06</td>
<td>0.22 $\pm$ 0.03</td>
<td>-0.33 $\pm$ 0.05</td>
<td>0.05</td>
<td>1.7</td>
<td>...</td>
</tr>
<tr>
<td>$z = 1$; $\text{M05, } Z_{2.2 Z_{08}}$</td>
<td>-0.08 $\pm$ 0.08</td>
<td>0.27 $\pm$ 0.03</td>
<td>-0.48 $\pm$ 0.06</td>
<td>0.05</td>
<td>0.7</td>
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Notes.—SED fitting results for the high-$z$ sample. The photometry used in the fits is indicated for the $z \sim 1$ sample. For the $z = 0$ sample similar rest-frame wavelength coverages are used (see text for the exact filter sets). The $\delta t$ value is the average $\log(M_\text{phot}/M_\odot)$, and $\sigma_t$ is the scatter therein. $\Delta \equiv \delta t - \delta t_0$. We use $\delta t_0$ from single-burst models to calculate $\Delta$, also in cases of more complicated SFHs and dust extinction. Models with a flat IMF (with slope 0.35 instead of 1.35, which is the slope of the Salpeter IMF) are indicated by $x = 0.35$. “SFH” indicates either the average timescale $\tau$ of the exponentially declining star-formation rate, or the average percentage of stellar mass involved in a secondary burst. This percentage ranges between 0% and the adopted burst strength, which indicates that not all galaxies are necessarily fit better by a double burst model than by a single burst model. $A_b$ is the extinction in the rest-frame $V$ band. Those values marked with an asterisk (*) indicate the attenuation of the secondary burst; the primary burst is assumed to be dust-free.

Fig. 6.—Comparison between dynamical and photometric masses of distant-field early-type galaxies as in Fig. 5, including $i'_{775}$, $z_{5850}$, and $J$ in the fits. The photometric masses are obtained by using different models. (a) Results for the BC03 model with supersolar metallicity ($2.5 Z_{08}$) and a Salpeter IMF. (b) Results for the BC03 model with solar metallicity and a top-heavy IMF (with $x = 0.35$ instead of $x = 1.35$ [Salpeter]). (c) Results from the M05 model with solar metallicity and a Salpeter IMF (d) Results from the M05 model with $2.2 Z_{08}$ and a Salpeter IMF. The $\Delta$ value is inconsistent with zero in (a) and (c), which indicates a discrepancy between the models and the observed SEDs and $M/L$. Also, we note that $\delta t_0$ is smaller than zero in all cases (the dashed and dotted lines lie below the line $M_\text{phot} = M_\odot$), which indicates the presence of dark matter or a larger number of low-mass stars than assumed in the Salpeter IMF.
the uncertainty due to unconstrained metallicity and IMF. The scatter in $M_{\text{phot}}/M_{\text{dyn}}$ is similar to that obtained with the BC03 models (a factor of 1.65–1.85).

To illustrate the results described above, we show in Figure 7a the relation between optical color ($B - I$) and $M/L$ for the models and the observed galaxies. The BC03 models with a Salpeter IMF reproduce the colors and $M/L$ rather well, whereas the BC03 model with the flat IMF and the M05 models do not. For the high-$z$ galaxies, the M05 model underpredicts $M/L$, which explains this model finding $\Delta < 0$.

### 4.2. Rest-Frame Optical and Near-IR SED Fits

We now extend the SED fits to the rest-frame $K$ band. The local galaxies are fit with the $B$, $V$, $I$, and $K$-band photometry. The distant galaxies are now fit with $i_{775}$ through 4.5 $\mu$m. Similarly to Figure 5, we show in Figure 8 the results if we adopt the BC03 model with solar metallicity and a Salpeter IMF. We now find $\delta_1 = 0.08 \pm 0.05$; i.e., the high-$z$ photometric masses have increased by an almost factor of 2 with respect to the photometric masses obtained from the optical SEDs alone. This is not the case for the local sample ($\delta_0 = -0.07 \pm 0.03$), which implies that $\Delta$ has increased significantly, to $\Delta = 0.15 \pm 0.06$. Thus, the normalized high-$z$ stellar masses are now a factor of 1.4 larger than the dynamical masses. A similar increase in $\Delta$ is found for the other BC03 SSP models (see Figs. 9a and 9b and Table 2). For the model with a flat IMF, this means that $\Delta$ is consistent with zero, but we have shown in the previous section that such a model provides a very poor fit to the rest-frame optical colors and $M/L$.

For the M05 SSP models (Figs. 9c and 9d) the effect of including the near-IR is very different. It is still true that the high-$z$ photometric masses increase somewhat, but that is also the case for the local sample. The net effect is that $\Delta$ does not change significantly. For the solar-metallicity model we find $\Delta = -0.26 \pm 0.07$, and for the supersolar metallicity we find $\Delta = -0.08 \pm 0.08$.

The most important consequence of the above results is the large difference between the masses inferred from the BC03 and M05 models with the same parameters. Normalized masses at $z \sim 1$ obtained with a BC03 model are 2–2.5 times larger than normalized masses obtained with a M05 model. This implies that there is an intrinsic systematic uncertainty in photometric mass estimates of at least a factor of 2.5. We stress that our results are not caused by the different redshifts of the samples: the same near-IR wavelength is sampled for the low- and high-redshift samples. The age difference between the samples reveals the systematic problems. In principle, tests such as those described here do not require a range in redshift, but in the local universe there is no suitable sample of relatively simple stellar systems with ages of 1–2 Gyr and dynamically measured masses.

In Figure 7b we show the relation between $B - K$ and $M/L_{K}$ for the models and the observed galaxies. The M05 models reproduce the galaxy colors and $M/L$ better than the BC03 models. Note that in the optical the reverse is the case (see Fig. 7a). Qualitatively, we may understand that extending SED fits to the near-IR does not constrain mass estimates much further: the optical–to–near-IR color (e.g., $B - K$) does not constrain $M/L$ very well. Conversely, the M05 model, which predicts the lack of strong evolution in $B - K$, cannot distinguish well between galaxies with low and high $M/L$. As a side effect, the scatter in $M_{\text{phot}}/M_{\text{dyn}}$ is similar to that found when the SED fits are restricted to the optical, a factor of 1.65–1.85 for all models described above.

The relatively high masses inferred with the BC03 model for the $z \sim 1$ galaxies are the result of the relatively high near-IR luminosities of the galaxies. According to the model these imply old age and high $M/L$ (see Figs. 2 and 7). Another possible explanation for the high near-IR luminosities is the presence of dust-obscured stellar populations that do not contribute to the optical luminosity. Generally speaking, models with more complex star-formation histories might yield younger ages and lower $M/L$. We explore models with exponentially declining star-formation rates and models with a dust-obscured, secondary burst of star-formation that occurs 2 Gyr after the initial burst.

We adopt a fixed contribution to the stellar mass of the secondary burst and leave age and extinction as free parameters.

![Figure 7](image1.png)

**Fig. 7.** Relation between color and $M/L$ for the models used to infer photometric mass estimates, compared with observed galaxy colors and dynamically obtained $M/L$. Open circles represent the local early-type sample; filled circles represent the $z \sim 1$ early-type sample. (a) $M_{B}/$ and $B-I$, (b) $M_{K}$ and $B-K$. The agreement between the data and the models clearly depends on wavelength. We stress that the $B-I$ and $B-K$ colors are subject to uncertainties due to template mismatch in the transformation from observed to rest frame, such that the comparison with the models in this figure is qualitative rather than quantitative. The SED fits described in this paper do not suffer from this uncertainty, as we used observed fluxes. [See the electronic version of the Journal for a color version of this figure.]

![Figure 8](image2.png)

**Fig. 8.** Comparison between dynamical and photometric masses, as in Fig. 5, but now including the rest-frame near-IR in the SED fits. For the local Coma sample, the $B$, $V$, $I$, and $K$-band data points are used. The average photometric mass is not affected by the changed wavelength coverage: the dotted line has not shifted significantly with respect to Fig. 5. For the distant sample, $i_{775}$ through 4.5 $\mu$m are used to obtain photometric masses. There is a systematic increase with respect to the masses obtained with the optical SEDs alone: the dashed line has shifted with respect to Fig. 5. Now $\Delta > 0$, which implies that stellar masses at $z \sim 1$ are overestimated relative to $z = 0$. The most important consequence of the above results is the large difference between the masses inferred from the BC03 and M05 models with the same parameters. Normalized masses at $z \sim 1$ obtained with a BC03 model are 2–2.5 times larger than normalized masses obtained with a M05 model. This implies that there is an intrinsic systematic uncertainty in photometric mass estimates of at least a factor of 2.5. We stress that our results are not caused by the different redshifts of the samples: the same near-IR wavelength is sampled for the low- and high-redshift samples. The age difference between the samples reveals the systematic problems. In principle, tests such as those described here do not require a range in redshift, but in the local universe there is no suitable sample of relatively simple stellar systems with ages of 1–2 Gyr and dynamically measured masses.
Leaving the secondary burst strength as a free parameter results in a very large scatter in $M_{\text{phot}}/M_{\text{dyn}}$, as the three free parameters are degenerate. If we assume that a secondary burst accounts for 10% of the total stellar mass, we find $\Delta = 0.07 \pm 0.06$ (where $\delta_0$ is taken from the results obtained with the SSP model, as the effect of a secondary burst does not affect the SEDs of the old local early types). The galaxies responsible for the decrease in $\Delta$ typically have a 0.1 Gyr old secondary stellar population that is highly obscured ($A_V \sim 4$). Similar results are obtained if the secondary burst strength is increased to 30% ($\Delta = 0.01 \pm 0.07$).

Models with exponentially declining star formation rates also improve the results for the BC03 model if we allow for the presence of dust ($\Delta = 0.03 \pm 0.06$). Hence, models with a more complex SFH can successfully reproduce the SEDs of $z \sim 1$ early-type galaxies that yield photometric masses that are consistent with their dynamical masses. Also, the quality of the fits is better for the models with more complicated star-formation histories than for the SSP model (see Table 2). We note that these types of models do not improve the results for the supersolar metallicity BC03 model ($\Delta > 0.2$; see Table 2). The M05 model fits do not change significantly if a model with a complex SFH is used ($\Delta < -0.2$; see Table 2).

### 4.3. Rest-Frame UV and Optical SED Fits: Constraints on the Star Formation History

Finally, we explore the effect of extending the analysis to the rest-frame UV. The UV is strongly affected by (small traces of) star formation and is expected to cause less accurate mass estimates. On the other hand, this sensitivity to young stars may constrain the SFH and dust content, which we adopt below as free parameters.

As a baseline, we adopt the BC03 and M05 SSP models with solar metallicity and a Salpeter IMF. We now fit the SEDs using the $b_{435}$, $v_{606}$, $i_{775}$, $z_{850}$, and $J$ photometry. Because of the problems detailed in the previous section, we omit the rest-frame near-IR. The results are shown in the left-hand panels of Figure 10 (BC03) and Figure 11 (M05). The scatter in $M_{\text{phot}}/M_{\text{dyn}}$ is a factor of $\sim 1.9$, similar to the scatter obtained without the $b_{435}$ and $v_{606}$ photometry. The quality of the fits has decreased significantly: for the BC03 model, the average rms is now 0.30 mag (it is 0.03 mag without the two shortest wavelength filters); for the M05 model this is 0.24 mag, instead of 0.05 mag. This indicates that, at least for the UV part of the SEDs, an SSP model provides poor fits.

Now we allow for an exponentially declining star-formation rate with timescale $\tau$, instead of assuming a single burst. Since star formation is usually related to extinction by dust, we consequently adopt $A_V$ as a free parameter as well. The results are shown in the middle panel of Figure 10 (BC03) and the right-hand panel of Figure 11 (M05). The scatter in $M_{\text{phot}}/M_{\text{dyn}}$ decreases marginally and is now comparable (a factor of $\sim 1.7$) to what we found when we excluded the UV and assumed a dust-free SSP. Also, the fits are somewhat better, as the average rms is now $\sim 0.2$ mag for both models. The fact that the rms remains much higher than for the optical SED fits is most likely because of the large errors in the $b_{435}$-band photometry.

In Table 2 we show the average values of $\tau$, and $A_V$. The inferred values for the extinction are quite large: $A_V \sim 0.25$ for both models. Age and $\tau$ are $\sim 2.1$ and $\sim 0.29$ Gyr for the BC03 model.
5. SUMMARY AND DISCUSSION

In this paper we have compared photometric and dynamical masses of early-type galaxies at both high ($z \sim 1$) and low redshifts. The uncertainties were analyzed quantitatively. We start this discussion by summarizing our main conclusions.

1. After allowing for a systematic offset, the scatter in $M_{\text{phot}}/M_{\text{dyn}}$, which quantifies the random uncertainty in photometric mass estimates of individual early-type galaxies at $z \sim 1$, is a factor of $\sim 1.75$ ($\sigma = 0.25$). We find no significantly different random uncertainties for different models and different wavelength ranges used in the fits, i.e., including IRAC data in the SED fits does not provide significantly more accurate mass estimates.

2. For SSP models from BC03 and rest-frame optical SED fits we find that the photometric masses of the $z \sim 1$ galaxies are consistent with the dynamical masses after normalization with respect to the $z = 0$ galaxies. This normalization is the difference between the photometric and dynamical masses of the low-$z$ comparison sample. When the SED fits are extended to the rest-frame near-IR, the normalized $z \sim 1$ mass estimates increase by a factor of 2; the $z \sim 1$ photometric masses are larger than the dynamical masses. For the SSP model with a top-heavy IMF ($x = 0.35$ instead of $x = 1.35$) there is no such discrepancy, but in that case optical SED fits underestimate the $z \sim 1$ masses by a factor of 2.3.

If we allow for more complex star formation histories and dust extinction, the $z \sim 1$ mass estimates decrease, such that the discrepancy seen for the BC03 models with a Salpeter IMF and solar metallicity disappears. For example, a model with a secondary, obscured burst of star formation provides good results and increases the quality of the fits. If correct, these results imply the presence of a significant population (at least 10% in mass) of young stars ($\sim 0.1$ Gyr) that are highly obscured ($A_V \sim 4$) in roughly half of the $z \sim 1$ population. It remains to be seen whether this is the case. Mid-IR observations will constrain this scenario.

3. We find very different results when we use the M05 model with solar metallicity. That model produces normalized mass estimates from the optical SEDs of the $z \sim 1$ galaxies that are too low by a factor of 1.7. The most striking difference with the BC03 model is revealed when the SED fits are extended to the near-IR: the normalized mass estimates at $z \sim 1$ do not change significantly, which implies that the normalized high-$z$ mass estimates remain too low. More complex models do not change these results significantly. Most importantly, the differences between the results obtained with the BC03 and M05 SSP models with identical model parameters imply a systematic uncertainty of a factor of 2.5 in photometric mass estimates obtained from near-IR SED fits. Hence, extending SED fits from the optical to the near-IR does not provide better mass estimates of high-$z$ early-type galaxies, because of uncertainties intrinsic to the stellar-population models.

4. Adopting the SFH and dust extinction as free parameters and extending the SED fits to the rest-frame UV do not increase the quality of the SED fits; nor do they decrease the random uncertainty in the photometric masses. For our sample, fitting the rest-frame optical SEDs is optimal to constrain the masses. Fits of UV+optical SEDs of several individual galaxies, however, improve significantly by adopting the SFH as a free parameter.

The above results demonstrate that both models have problems in either the optical (M05) or the near-IR (BC03, barring significant, obscured stellar populations). This conclusion relies on two assumptions. First, we assume that all local and distant galaxies have similar dynamical structures. In other words, we assume that invoking $5\sigma_{\text{dyn}}^2/G$ as $M_{\text{dyn}}$ for both low- and high-redshift early types does not introduce systematic effects. This assumption appears to be reasonable, as fundamental plane studies have shown that all nearby ellipticals and S0s follow the same relation (e.g., Jørgensen et al. 1996), and Treu & Koopmans (2004) showed that high-redshift ellipticals have the same structure as low-redshift ellipticals. Second, we assume that local and distant galaxies can be fit with models with the same metallicity and IMF. By doing so, we ignore, for example, the possibility that the galaxies in our $z \sim 1$ sample evolve strongly in metallicity. However, in order to remove the inconsistency found for the BC03 model, the distant galaxies would have to be more metal-rich than the local galaxies. This seems unlikely. On the contrary, Jørgensen et al. (2005) show evidence for metal enrichment between $z \sim 0.8$ and the present. We also note that mergers between early-type galaxies, which are likely very important in shaping the mass function of the early-type galaxy population (e.g., van Dokkum 2005), do not affect our model with dust and $\sim 1.7$ and $\sim 0.13$ Gyr for the M05 model with dust. This implies an average star formation rate (SFR) of $\sim 1 M_e/\text{yr}^{-1}$. Assuming that this continues to decline exponentially between the epoch of observation and the present, the average stellar mass increases by no more than $\sim 0.2$% after $z \sim 1$. The galaxy with the highest SFR would increase its stellar mass by 8.0%. The models imply that residual star formation is not very relevant for the galaxies in our sample and that, barring interactions or mergers, the galaxies have assembled most of their stellar mass by $z \sim 1$.

Finally, we test a BC03 model in which a dusty, secondary burst of star formation occurs 2 Gyr after the dust-free initial burst. This is the same model that was used in the previous section, but now we adopt the strength of the secondary burst as a free parameter as well, along with age and $A_V$; we allow the secondary burst to vary in strength between 0% and 30% of the final stellar mass. The results are shown in the right-hand panel of Figure 10. The scatter in $M_{\text{phot}}/M_{\text{dyn}}$ is a factor of 1.9, which is similar to what was found when modeling the rest-frame optical SEDs with a dust-free SSP model.

To investigate the applicability of the double burst model, it is more interesting to look at individual galaxies. The fits of 11 out of 20 galaxies clearly improve by allowing a dusty secondary burst. With a single burst, these seven galaxies are assigned ages of 1.2 Gyr. These galaxies are fit significantly better by a $\sim 0.3$ Gyr old secondary burst involving, on average, 12% of the final stellar mass and an extinction of $A_V \sim 0.65$. This young population is superimposed on a 2 Gyr older, dust-free population.

Fig. 11.—Comparison between dynamical and photometric masses (using the M05 model) of distant field early-type galaxies, as in Fig. 5, modeling the rest-frame UV+optical SEDs: all photometric data points between $H_{160}$ and $J$ are used in the fits. In (a) we assume, as a starting point, a dust-free SSP. In (b), $\tau$ and $A_V$ are free parameters. See Table 2 for the best-fitting model properties.
conclusions much because the stellar populations themselves do not change.

The differences between the models are not restricted to the range of ages of the galaxies described in this paper (≥1 Gyr). On the contrary, the largest differences are found for even younger ages (i.e., low M/L; see Figs. 2 and 7). This implies that mass estimates of young or star-forming galaxies may also be systematically uncertain. This is, in particular, relevant to studies at z > 2, where SED fits are the only available method for estimating galaxy masses (e.g., Förster Schreiber et al. 2004; Daddi et al. 2004; Shapley et al. 2005; Labbé et al. 2005; Mobasher et al. 2005). This work suggests that more than one type of model should be used when performing SED fits, as the difference will give an indication of the systematic uncertainty.

It is essential that the models are improved, hopefully leading to consistent predictions of the SEDs, given the IMF, star formation history, and metallicity. Therefore, a better understanding of the near-IR properties of stellar populations is required. Our work provides a new tool to verify the predictions of the models, a method that can be exploited more thoroughly by obtaining a large sample of early-type galaxies with a wide range in redshift, accurate dynamical masses and abundance measurements from spectroscopy, star formation activity from UV and mid-IR photometry, and mass estimates derived from multiwavelength imaging, including the rest-frame near-IR. Only when the model discrepancies are resolved can the full potential of IRAC for measuring stellar masses of distant galaxies be realized.

We would like to thank the anonymous referee for a constructive review. ACS was developed under NASA contract NAS5-32865. A. v. d. W. and G. I. acknowledge support from NASA grant NAGS-7697 and for G. I. from program GO-10196.04-A, which was provided by NASA through a grant from the Space Telescope Science Institute, which is operated by the Association of Universities for Research in Astronomy, Inc., under NASA contract NAS 5-26555.

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